

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mikiko ABE et al.

Serial No.: not yet assigned

Filed : concurrently herewith

For : METHOD OF INITIALIZING PHASE CHANGE OPTICAL RECORDING MEDIUM

INFORMATION DISCLOSURE STATEMENT

1185 Ave. of the Americas  
New York, N.Y. 10036  
January 16, 2004

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

S I R:

Attention is respectfully directed to the items of information listed on the attached Form PTO-1449. In accordance with the notice at 1276 O.G. 55 (August 5, 2003) copies of the listed U.S. patent and U.S. patent application publications are not being supplied. Copies of the claims (including amendments, in currently pending applications), abstract and drawings of each listed unpublished U.S. patent application are enclosed, as are copies of the listed Japanese patent application publications and English-language abstracts thereof. Concise explanations of the relevance of those Japanese patent application publications are set forth in the "Background of the Invention" section of applicants' specification filed concurrently herewith.

Respectfully,

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<b>Form PTO-1449</b> <b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>										<b>Atty. Docket No.</b> 71801 CCD		<b>Serial No.</b>		
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)										<b>Applicants:</b> M. ABE et al.		<b>Group</b>		
										<b>Filing Date</b>				
<b>U.S. PATENT DOCUMENTS - PUBLISHED APPLICATIONS</b>														
Examiner Initial		Document Number							Date	Name	Class	Subclass	Filing Date if Appropriate	
	2003	0	2	1	4	8	8	8	11/20/03	Kato et al.			3/26/03	
	2003	0	2	1	4	9	0	2	11/20/03	Yamada et al.			3/7/03	
	2003	0	1	5	2	0	0	6	8/14/03	Ohkura et al.			1/28/03	
	2003	0	0	0	8	2	3	6	1/9/03	Yamada et al.				
	2002	0	1	4	5	9	6	3	10/10/02	Narumi et al.				
	2003	0	0	1	2	9	1	7	1/16/03	Harigaya et al.			5/20/02	
	2003	0	0	4	4	7	1	9	3/6/03	Katoh et al.			1/31/02	
	2002	0	1	5	5	2	4	8	10/24/02	Ito et al.				
	2002	0	1	6	0	3	0	6	10/31/02	Hanaoka et al.				
	2003	0	0	0	3	3	9	5	1/2/03	Yuzurihara et al.				
<b>FOREIGN PATENT DOCUMENTS</b>														
		Document Number							Date	Country	Class	Subclass	Translation	
													Yes	No
		10	0	5	5	5	3	9	2/1998	Japan			Abst.	
		10	1	0	6	0	2	7	4/1998	Japan			Abst.	
		10	1	1	2	0	6	5	4/1998	Japan			Abst.	
		11	1	4	4	3	3	6	5/1999	Japan			Abst.	
		09	2	1	2	9	1	8	8/1997	Japan			Abst.	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>														
<b>EXAMINER</b>									<b>DATE CONSIDERED</b>					
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.														

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		Filing Date	Group

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

**U.S. PATENT DOCUMENTS - PUBLISHED APPLICATIONS**

Examiner Initial		Document Number							Date	Name	Class	Subclass	Filing Date if Appropriate
	2002	0	1	1	0	0	6	3	8/15/02	Yamada et al.			
	2002	0	0	9	8	4	4	5	5/25/02	Harigaya et al.			
	2001	0	0	1	7	8	3	3	8/30/01	Yamada et al.			
	2001	0	0	2	1	1	5	4	9/13/01	Kato et al.			
	2003	0	1	4	3	3	6	5	7/31/03	Ito et al.			1/31/03
	2001	0	0	4	1	2	4	0	11/15/01	Ito et al.			
	2003	0	1	2	4	2	9	8	7/3/03	Ito et al.			2/7/03
	2002	0	0	7	5	7	8	9	6/20/02	Kato et al.			

**FOREIGN PATENT DOCUMENTS**

		Document Number							Date	Country	Class	Subclass	Translation	
		10	2	4	1	2	1	1	9/1998	Japan			Yes	No
	2000		1	9	5	1	1	1	7/2000	Japan			Abst.	
	2000		1	9	5	1	1	3	7/2000	Japan			Abst.	
	2000		3	4	3	8	2	6	12/2000	Japan			Abst.	
	2002		1	3	3	7	1	1	5/2002	Japan			Abst.	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


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<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)					Applicants: M. ABE et al.		Group						
					Filing Date								
<b>U.S. PATENT DOCUMENTS - UNPUBLISHED APPLICATIONS &amp; PATENT</b>													
Examiner Initial		Document Number						Date	Name	Class	Subclass	Filing Date if Appropriate	
		09	5	8	5	3	8	0		Claims, abst., dwgs			06/01/2000
		09	5	6	9	5	0	1		Claims, abst., dwgs			05/11/2000
		09	5	3	4	1	8	3		Claims, abst., dwgs			03/24/2000
									PATENT				
		6	4	7	9	1	2	1	11/2002	Miura et al.			
<b>FOREIGN PATENT DOCUMENTS</b>													
		Document Number						Date	Country	Class	Subclass	Translation	
												Yes	No
	2001	1	2	6	2	6	5	5/2001	Japan			Abst.	
	2000	3	1	3	1	7	0	11/2000	Japan			Abst.	
	2000	1	9	5	1	1	2	7/2000	Japan			Abst.	
	2001	2	8	3	4	7	7	12/2000	Japan			Abst.	
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